



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INVENTORS:** 

David G. Kuehr-McLaren et al.

APPLICATION NO.

10/706,465

Art Unit 3625

FILED:

November 12, 2003

CASE:

RSW920010118US1

TITLE:

METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR IDENTIFYING AND IMPLEMENTING COLLECTED PRIVACY POLICIES AS AGGREGATE PRIVACY POLICIES IN ELECTRONIC TRANSACTIONS

## Certificate of Mailing

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, MAIL STOP AMENDMENT, P.O. Box 1450, Alexandria, VA 22313-1450 on 9-8-05

Lynn M. White

Commissioner for Patents MAIL STOP AMENDMENT P.O. Box 1450 Alexandria, VA 22313-1450

Information Disclosure Statement Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Form PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the non-U.S. patent publications listed on the attached Form PTO-1449 are submitted herewith.

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Docket No. RSW920010118US1

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It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed prior to a first Office Action on the merits, no fee or certification is required.

Respectfully submitted,

Date 9 /8 /05

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PIPE,	INFO	RMA	TION DISCLOSURE (Use several sheets if necessar	CITATION	Applicant(s)  D. Kuehr-McLaren et al.					
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P 1 2 2005		U.S. PATENT D				11/12/2003		3625		
MARINE	<u>\$/</u>					DOCUMENTS	<del></del>			
INITIAL	REF	F DOCUMENT NUMBER		DATE	NAME		CLASS	SUBCLASS	FILING IF APPRO	1
	AA	5,745,574		04/28/1998	Muftic		380	23		
	AB	5,987,440		11/16/1999	O'Neil et al.		705	44		
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U.S. PATENT APPLICATION PUBLICATIONS										
*EXAMINER INITIAL	REF DOCUMENT NUMBER			DATE	NAME		CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE	
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FOREIGN PATENT DOCUMENTS										
	REF	DOCUMENT NUMBER		DATE	COUNTRY		CLASS	SUBCLASS	Tran YES	slation NO
	AC	WO 00/28452		05/18/2000	РСТ		G06F	17/60	1	
	AD	WO 99/66428		12/23/1999	PCT		G06F	17/30	1	
	AE	WO 99/52242		10/14/1999	PCT		H04L	9/32	1	
	AF	WO 00/29973		05/25/2000	PCT		H06F	17/00	1	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
	Swindells & Henderson, "Legal regulation of electornic commerce," Journal of Information Law & Technology [INSPEC ABSTRACT ONLY]								nology, No	. 3 (1998)
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		Gerhard & Rohm, "A secure electronic market for anonymous transferable emission permits," Proceedings of the 31st Hawaii Intl. Conference on System Sciences (Cat. No. 98TB100216), Pt. vol. 4, pp. 232-241, Los Alamitos, CA (1998) [INSPEC ABSTRACT ONLY]								
EXAMINER						DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										

Docket Number (Optional)

RSW920010118US1

Form PTO-A820 (also form PTO-1449)

Application Number

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